

# **Deep Learning**

### 11 Evolution of CNN Architectures

Dr. Konda Reddy Mopuri Dept. of Al, IIT Hyderabad Jan-May 2024



• We will ground the evolution on ImageNet Large-Scale Visual Recognition Object Challenge (ILSVRC)



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- Training set of 1.2M (7321300 training samples per class) labelled images from 1000 categories



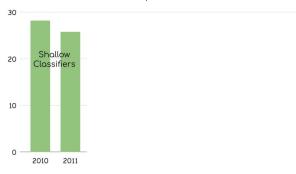
- We will ground the evolution on ImageNet Large-Scale Visual Recognition Object Challenge (ILSVRC)
- Training set of 1.2M (7321300 training samples per class) labelled images from 1000 categories
- 50K validation set and 100K test set



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- Training set of 1.2M (7321300 training samples per class) labelled images from 1000 categories
- 50K validation set and 100K test set
- Evaluation metric: Top-5 error rate

## Overview of different CNN architectures

• We will ground the evolution on ILSVRC

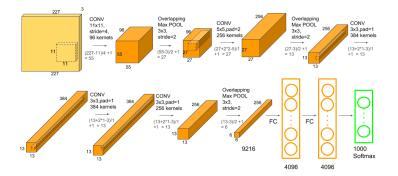


ILSVRC top-5 Error rate



- I 8-layer CNN: 5 Conv layers, 3 FC layers
- 2  $227 \times 227$  input
- 3 Max pooling, ReLU nonlinearity, LRN (not used anymore now)





#### Figure credits:neurohive.io

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#### Implemented on GTX 580 GPUs (2 of them; 3GB of Memory each)

Figure from AlexNet paper by Kryzhevsky et al.

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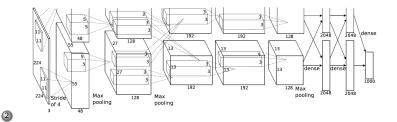


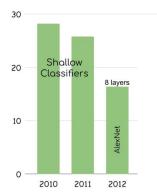
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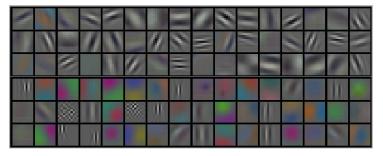
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ILSVRC top-5 Error rate







Visualizing the 11x11 filters learned by AlexNet

### **ZFNet (2013)**



A more worked-out AlexNet

### **ZFNet (2013)**



- A more worked-out AlexNet
- 2 More trials on the AlexNet architecture that resulted in less error
  - (11  $\times$  11 stride 4)  $\rightarrow$  (7  $\times$  7 stride 2)
  - $\,\circ\,$  Conv 3, 4, and 5 (384, 384, 256)  $\rightarrow$  (512, 1024, and 512)

#### भारतीय प्रोच्चोगिकी संख्यान हेवरावाव भारतीय प्रोच्चोगिकी संख्यान हेवरावाव Indian Institute of Technology Hyderabad

### **ZFNet (2013)**

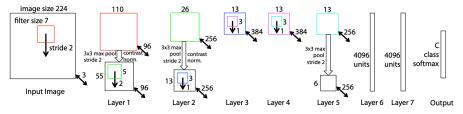


Figure 3. Architecture of our 8 layer convnet model. A 224 by 224 crop of an image (with 3 color planes) is presented as the input. This is convolved with 96 different 1st layer filters (red), each of size 7 by 7, using a stride of 2 in both x and y. The resulting feature maps are then: (i) passed through a rectified linear function (not shown), (ii) pooled (max within 3x3 regions, using stride 2) and (iii) contrast normalized across feature maps to give 96 different 55 by 55 element feature maps. Similar operations are repeated in layers 2,3,4,5. The last two layers are fully connected, taking features from the top convolutional layer as input in vector form ( $6 \cdot 6 \cdot 56 = 9216$  dimensions). The final layer is a *C*-way softmax function, *C* being the number of classes. All filters and feature maps are square in shape.

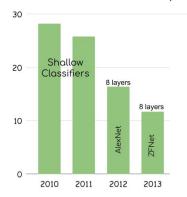
#### Figure from Zeiler and Fergus, ECCV 2014

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### **ZFNet (2013)**



ILSVRC top-5 Error rate





1 First architecture to have a principled design



#### I First architecture to have a principled design

- All conv:  $3 \times 3$ , stride:1, pad:1
  - All max pool:  $2 \times 2$ , stride:2
  - After pooling, double the channels

2



		Softmax
		FC 1000
	Softmax	FC 4096
fc8	FC 1000	FC 4096
fc7	FC 4096	Pool
fc6	FC 4096	$3 \times 3 conv, 5$
	Pool	$3 \times 3 conv, 5$
conv5-3	3 × 3 conv, 512	$3 \times 3 conv, 5$
conv5-2	3 × 3 conv, 512	$3 \times 3 conv, 5$
conv5-1	3 × 3 conv, 512	Pool
	Pool	$3 \times 3 conv, 5$
conv4-3	3 × 3 conv, 512	$3 \times 3 conv, 5$
conv4-2	3 × 3 conv, 512	$3 \times 3 conv, 5$
conv4-1	3 × 3 conv, 512	$3 \times 3 conv, 5$
	Pool	Pool
conv3-2	3 × 3 conv, 256	$3 \times 3 conv, 2$
conv3-1	3 × 3 conv, 256	$3 \times 3 conv, 2$
	Pool	Pool
conv2-2	3 × 3 conv, 128	3 × 3 conv, 1
conv2-1	3 × 3 conv, 128	3 × 3 conv, 1
	Pool	Pool
conv1-2	3 × 3 conv, 64	3 × 3 conv, 6
conv1-1	3 × 3 conv, 64	3 × 3 conv, 6
	Input	Input

FC 1000 FC 4096 FC 4096 Pool 3 × 3 conv,512 3 × 3 conv,512 9 Pool 3 × 3 conv,252 3 × 3 conv,256 9 Pool			
FC 4096 Pool 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 9 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 9 × 3 conv, 512 1 × 3 conv, 526 3 × 3 conv, 256			
$\begin{array}{r} \label{eq:pool} \hline \\ \hline $Pool$ \\ \hline $3 \times 3 \ conv, 512$ \\ \hline $3 \times 3 \ conv, 525$ \\ \hline \hline $Pool$ \\ \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline \hline \hline $3 \times 3 \ conv, 256$ \\ \hline \hline \hline \hline \hline $3 \times 3 \ conv, 256$ \\ \hline $			
$\begin{array}{c} 3 \times 3 \ conv, 512 \\ 3 \times 3 \ conv, 512 \\ 3 \times 3 \ conv, 512 \\ \hline 3 \times 3 \ conv, 512 \\ \hline 9 \ column{}{} 00 \\ \hline 3 \times 3 \ conv, 512 \\ \hline 9 \ column{}{} 00 \\ \hline 8 \ x \ 3 \ conv, 526 \\ \hline 3 \times 3 \ conv, 256 \\ \hline 3 \times 3 \ conv, 256 \\ \hline \end{array}$			
$\begin{array}{c} 3 \times 3 \ conv, 512 \\ 3 \times 3 \ conv, 512 \\ \hline 3 \times 3 \ conv, 512 \\ \hline 9 \ oldsymbol{omega} \\ \hline 3 \times 3 \ conv, 512 \\ \hline 3 \times 3 \ conv, 512 \\ \hline 3 \times 3 \ conv, 512 \\ \hline 9 \ oldsymbol{omega} \\ \hline \hline 9 \ oldsymbol{omega} \\ \hline \hline 9 \ oldsymbol{omega} \\ \hline \hline 3 \times 3 \ conv, 256 \\ \hline 3 \times 3 \ conv, 256 \\ \hline \end{array}$			
3 × 3 conv, 512 3 × 3 conv, 512 Pool 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 Pool 3 × 3 conv, 256 3 × 3 conv, 256			
3 × 3 conv, 512 Pool 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 9 × 3 conv, 512 3 × 3 conv, 526 3 × 3 conv, 526			
Pool   3 × 3 conv, 512   3 × 0 conv, 512   3 × 3 conv, 512   9 Pool   3 × 3 conv, 256   3 × 3 conv, 256			
3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 3 × 3 conv, 512 Pool 3 × 3 conv, 256 3 × 3 conv, 256			
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Pool   3 × 3 conv, 256   3 × 3 conv, 256			
3 × 3 conv, 256 3 × 3 conv, 256			
3 × 3 conv, 256			
3 × 3 conv, 256			
Pool			
3 × 3 conv, 128			
3 × 3 conv, 128			
Pool			
3 × 3 conv, 64			
3 × 3 conv, 64			
Input			

VGG16

VGG19

#### 5 Conv stages



Softmax

- ① 5 Conv stages
- ② (initially) Conv-Conv-Pool

		FC 10
	Softmax	FC 40
fc8	FC 1000	FC 40
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	Pool	Poo
conv2-2	3 × 3 conv, 128	3 × 3 cor
conv2-1	3 × 3 conv, 128	3 × 3 cor
	Pool	Poo
conv1-2	3 × 3 conv, 64	3 × 3 cor
conv1-1	3 × 3 conv, 64	3 × 3 con
	Input	Inpu
	VGG16	VGG



- 1 5 Conv stages
- ② (initially) Conv-Conv-Pool
- ③ (later) Conv-Conv-Conv-Pool (VGG19 has one more Conv)

		FC 1000
	Softmax	FC 4096
fc8	FC 1000	FC 4096
fc7	FC 4096	Pool
fc6	FC 4096	$3 \times 3 conv, 5$
	Pool	$3 \times 3 conv, 5$
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	Pool	Pool
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	Pool	Pool
conv1-2	3 × 3 conv, 64	$3 \times 3 conv, 6$
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	Input	Input
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(1) Why Only  $3 \times 3$  Convs?



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- 2 Case-1: Conv $(5 \times 5, C \rightarrow C)$



- **1** Why Only  $3 \times 3$  Convs?
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  - Parameters:  $C \times C \times 5 \times 5 = 25C^2$



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  - Parameters:  $C \times C \times 5 \times 5 = 25C^2$
  - Flops:  $\begin{array}{l} C\times H\times W\times C\times 5\times 5=\\ 25C^2HW \end{array}$



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**1** Case-2: Conv $(3 \times 3, C \rightarrow C)$ and Conv $(3 \times 3, C \rightarrow C)$ 



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- **1** Case-2: Conv $(3 \times 3, C \rightarrow C)$ and Conv $(3 \times 3, C \rightarrow C)$ 
  - Parameters:  $2 \times C \times C \times 3 \times 3 = 18C^2$



- **1** Why Only  $3 \times 3$  Convs?
- 2 Case-1: Conv $(5 \times 5, C \rightarrow C)$ 
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1 Halving the spatial dimensions (max pooling) and doubling the channels  $\rightarrow$  computational cost is unchanged



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- ② Case-1:  $C \times 2H \times 2W$ , Conv  $(3 \times 3, C \rightarrow C)$



- $\textcircled{1} \textbf{ Halving the spatial dimensions (max pooling) and doubling the channels \rightarrow computational cost is unchanged}$
- ② Case-1:  $C \times 2H \times 2W$ , Conv  $(3 \times 3, C \rightarrow C)$ 
  - Memory: 4CHW, parameters:  $9C^2$ , Flops:  $36HWC^2$



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- 3 Case-2:  $2C \times H \times W$ , Conv  $(3 \times 3, 2C \rightarrow 2C)$



- $\textcircled{1} \textbf{ Halving the spatial dimensions (max pooling) and doubling the channels \rightarrow computational cost is unchanged}$
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  - Memory: 4CHW, parameters:  $9C^2$ , Flops:  $36HWC^2$
- **3** Case-2:  $2C \times H \times W$ , Conv  $(3 \times 3, 2C \rightarrow 2C)$ 
  - Memory: 2CHW, parameters:  $36C^2$ , Flops:  $36HWC^2$



1 Huge network (VGG-16) compared to AlexNet



- I Huge network (VGG-16) compared to AlexNet
- ② Memory:  $1.9 \rightarrow 48.6 \text{MB}$  (25X)



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- ② Memory:  $1.9 \rightarrow 48.6 \text{MB}$  (25X)
- 3 Parameters:  $61 \rightarrow 138M$  (2.3X)

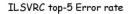
# VGG (2014)

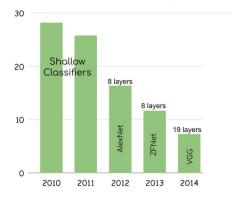


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- ② Memory:  $1.9 \rightarrow 48.6 \text{MB}$  (25X)
- 3 Parameters:  $61 \rightarrow 138M$  (2.3X)
- ④ Flops:  $0.7 \rightarrow 13.6$ G Flop (19.4X)

# VGG (2014)









Efficiency was the focus of design

Figure credits: Medium.com and Anas Brital

#### इन्टर्वेळ केन्ट्रेंबिड ब्रेड्यू केंद्र्यू ट्रन्टटनथन्द्र भारतीय प्रीचोगिकी संस्थान इंवरावाव Indian Institute of Technology Hyderabad

# GoogLeNet (2014)

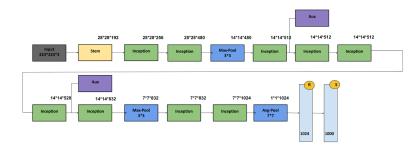
- Efficiency was the focus of design
- ② Reduce the parameters, memory and the compute requirements (towards deployment)

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#### Figure credits: Medium.com and Anas Brital

3

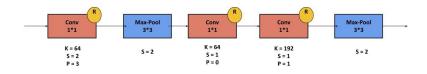


(1) Stem architecture at the early stage  $\rightarrow$  aggressive down-sampling

Figure credits: Medium.com and Anas Brital



(1) Stem architecture at the early stage ightarrow aggressive down-sampling



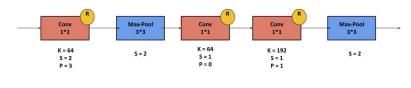
#### Figure credits: Medium.com and Anas Brital

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2



 $\textcircled{0} \hspace{0.1in} \text{Stem architecture at the early stage} \rightarrow \text{aggressive down-sampling}$ 



2

- 3 From  $224 \times 224$  to  $28 \times 28$ 
  - GoogLeNet: Compute 7.5MB, parameters 124K, and MFlops 418
  - VGG-16: Compute 42.9MB (5.7X), parameters 1.1M (8.9X), and MFlops - 7485 (17.8X)

Figure credits: Medium.com and Anas Brital



1 Inception module: unit with parallel branches

Figure credits: Original Paper

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Inception module: unit with parallel branches

② Repeated through the architecture

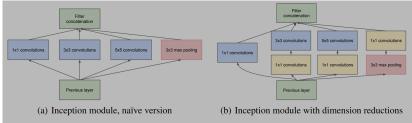


Figure credits: Original Paper



1 Global Average Pooling (GAP) layer

Alexis Cook

#### रूपर्वतेय मेण्टवेखर राज्यु राज्यु राज्यु राज्यु भारतीय प्रीयोगिकी संस्थान ईवरावाव Indian Institute of Technology Hyderabad

# GoogLeNet (2014)

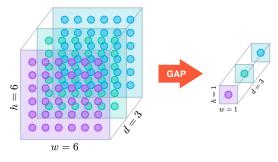
- Global Average Pooling (GAP) layer
- ② Flattening results in huge weight matrices  $\rightarrow$  GoogLeNet introduces GAP layer

Alexis Cook

#### रूपर्वतेय मेण्टवैखिई अक्षुर्व वेय्यू रूपर्वत्मक मारतीय प्रीयोगिकी संस्थान ईवराबाव Indian Institute of Technology Hyderabad

# GoogLeNet (2014)

- Global Average Pooling (GAP) layer
- ② Flattening results in huge weight matrices  $\rightarrow$  GoogLeNet introduces GAP layer
- ③ Collapses the spatial dimensions by computing the average (kernel size = spatial dimensions of the last conv layer)



#### Alexis Cook



No more fully connected layers



- In the second second
- ② One linear layer to predict the classification scores (feather light!)



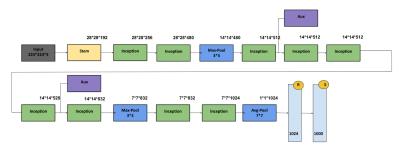
Auxiliary classifiers



- Auxiliary classifiers
- ② Training using the gradients at the end of the network didn't work well (too deep, gradient propagation was not robust)



- Auxiliary classifiers
- Training using the gradients at the end of the network didn't work well (too deep, gradient propagation was not robust)
- 3 Hack: add auxiliary classifiers at intermediate locations to receive loss/gradients







#### ILSVRC top-5 Error rate

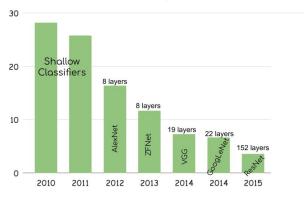
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- Use Very important time for the DNNs
  - Batch Normalization happened
  - Depth increased by an order  $(10 \rightarrow 150+)$
  - ${\, \bullet \, }$  ILSVRC error almost halved from that of 2014

श्रुर्ठवैक्र केठई ठेड्रुई उठई रूदरन्छर्द भारतीय प्रौद्योगिकी संस्थान ईवरायाव Indian Institute of Technology Hyderabad

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#### ILSVRC top-5 Error rate

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2

#### **Training Deeper CNNs**

इन्टर्वक्षेत्र जेन्द्रविक क्षेत्र के क्रुं के क भारतीय प्रोचोगिकी संख्यान इवराबाव Indian Institute of Technology Hyderabad

When training the "deeper" CNNs, people observed that they were worse than shallow ones

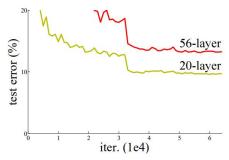


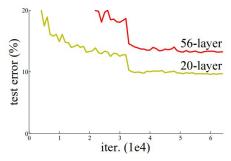
Figure Credits: He et al. 2015

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#### **Training Deeper CNNs**

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When training the "deeper" CNNs, people observed that they were worse than shallow ones



② Initial suspicion was the 'over-fitting'!

Figure Credits: He et al. 2015

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#### **Training Deeper CNNs**



- Initial suspicion was the 'over-fitting'!
- 2 However, it was due to the under-fitting

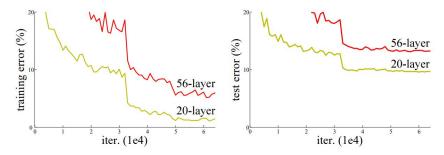


Figure Credits: He et al. 2015

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Deeper CNNs should easily emulate the shallow ones (extra layers could learn identity function)



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- 2 This is not the case  $\rightarrow$  some issue in the optimization!



- Deeper CNNs should easily emulate the shallow ones (extra layers could learn identity function)
- 2 This is not the case  $\rightarrow$  some issue in the optimization!
- 3 Work on the architecture so that learning identity function gets easier with additional layers

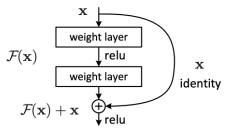


Work on the architecture so that learning identity function gets easier with additional layers

#### Yuanrui Dong



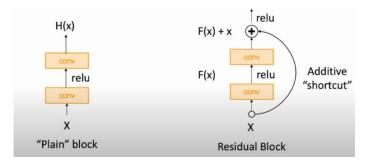
- Work on the architecture so that learning identity function gets easier with additional layers
- 2 ResBlock (residual block)



#### Yuanrui Dong



ResBlocks help the gradient backpropagation



#### Figure Credits: Dr. Justin Johnson, U Michigan

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ResNet is a stack of Resblocks

Figure credits: Dr. Justin Johnson, U Michigan

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- ResNet is a stack of Resblocks
- Inspire from VGG and GoogLeNet

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- ResNet is a stack of Resblocks
- Inspire from VGG and GoogLeNet
- 3 Simple and regular design like VGG: each resblock has two  $3 \times 3$  Conv

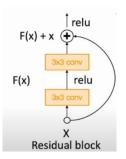


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In Network has stages: first block of each stage halves the resolution and doubles the channels



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- 2 Aggressive stem in the beginning (downsamples by 4X before the start of the resblocks)
- ③ Eliminates the FC layers via GAP



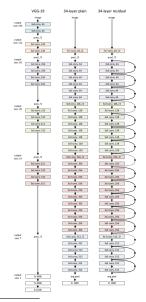


Figure credits: K. he et al., ResNets 2015)

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### ResNet-18

- Stem: 1 Conv
- Stage-1 (C=64): 2 resblocks (4 Conv)
- Stage-2 (C=128): 2 resblocks (4 Conv)
- Stage-3 (C=256): 2 resblocks (4 Conv)
- Stage-4 (C=512): 2 resblocks (4 Conv)
- Linear
- Top-5 error: 10.92 and GFlop: 1.8

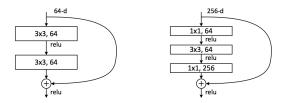


### ResNet-34

- Stem: 1 Conv
- Stage-1 (C=64): 3 resblocks (6 Conv)
- Stage-2 (C=128): 4 resblocks (8 Conv)
- Stage-3 (C=256): 6 resblocks (12 Conv)
- Stage-4 (C=512): 3 resblocks (6 Conv)
- Linear
- Top-5 error: 8.58 and GFlop: 3.6 (VGG: 9.6 and 13.6 respectively)



Bottlneck Residual block



#### Figure Credits: Nushaine Ferdinand



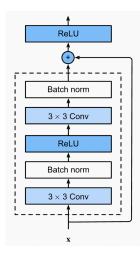
Resnet-34 becomes ResNet-50 if we replace the plain resblocks with bottleneck ones



- Resnet-34 becomes ResNet-50 if we replace the plain resblocks with bottleneck ones
- 2 More blocks at each stage result in ResNet-101 and Resnet-152 architectures



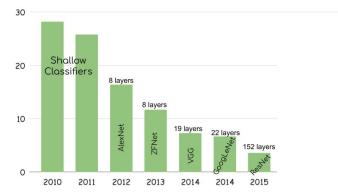
1 Resblocks have Batch Normalization layers



#### Yashovardhan Shinde and Analyticsvidhya

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#### ILSVRC top-5 Error rate

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### Post 2015



2016 Winners (Trimps Soushen): Multi-scale Ensemble models of Inception, ResNets, WRN, etc.

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- 2016 Winners (Trimps Soushen): Multi-scale Ensemble models of Inception, ResNets, WRN, etc.
- Improving ResNets: multiple parallel pathways of bottlenecks (ResNeXt), Squeeze and Excitation Nets (SENet)
- 3 Densenets, Tiny Networks (MobileNets, ShuffleNets), etc.



**1** Initial families of architectures (AlexNet, ZFNet, VGG)  $\rightarrow$  Bigger the better!



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- ② GoogLeNet emphasized on efficiency
- 3 ResNet enabled extreme depth



I Focus back on efficiency: improving accuracy w/o growing the complexity

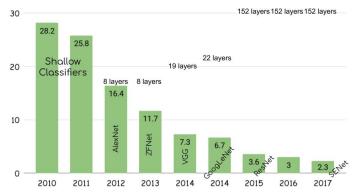


- Focus back on efficiency: improving accuracy w/o growing the complexity
- ② Deploy-able models: MobileNet, ShuffleNet, etc.



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- ② Deploy-able models: MobileNet, ShuffleNet, etc.
- ③ Neural Architecture Search (NAS)





ILSVRC top-5 Error rate

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